

United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/762,555	04/10/2001	Lothrop Mittenthal	TET-1668/980		
Daham A Maka	7590 01/30/2007		EXAM	INEK	
Robert A Muha Kirkpatrick & Lockhart			LAFORGIA. CHRISTIAN A		
Henry W Oliver Building 535 Smithfield Street			, ART UNIT	PAPER NUMBER	
Pittsburgh, PA 15222-2312			2131		
			MAIL DATE	DELIVERY MODE	
			01/30/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
Intonvious Summans	09/762,555	MITTENTHAL, LOTHROP					
Interview Summary	Examiner	Art Unit					
	Christian La Forgia	2131					
All participants (applicant, applicant's representative, PTO	personnel):						
(1) <u>Christian La Forgia</u> .	(3)						
(2) Christopher Wolfe (56,264).	(4)						
Date of Interview: 26 January 2007.							
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2)⊡ applicant's representative	e]					
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ Ņo.						
Claim(s) discussed: <u>1,2,4,7-10,12,13,16,17,20 and 21</u> .							
Identification of prior art discussed: <u>N/A</u> .		·					
Agreement with respect to the claims f)☐ was reached. g	g)⊠ was not reached. h)□ N	N/A.					
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet . (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview							
requirements on reverse side or on attached sheet.							
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	·						
		•					

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

s signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner.

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The Applicant and Examiner discussed possible methods to overcome the 101 rejection by including a limitation that produces a tangible result in which data is physically transformed. The Examiner suggested reviewing MPEP 2106.02 for further clarification. Regarding the 112 rejection, the Examiner requested clarification for the term "maximal," and the Applicant discussed submitting an entry for the definition of the term from Webster's Unabridged Dictionary. The Examiner stated that the Applicant would be better served if they could point out where in their specification that the term "maximal" was defined.

K&L GATES

Kirkpatrick & Lockhart Preston Gates Ellis LLP Henry W. Oliver Building 535 Smithfield Street Pittsburgh, PA 15222-2312 412.355.6500 F 412.355.6601

FAX

Date • January 22, 2007

Pages • 2

Transmit To · Examiner Christian La Forgia

Art Unit 2131

Company/Firm • USPTO

Fax No. • 571-273-3797

From • Christopher G. Wolfe

Phone • 412.355.6798

Secretary • Kimberly A. Richey

Phone • 412,355,7429

Lawyer ID • 0694

Client/Matter Name • Teledyne 98086PCT

Client ID/Matter No. • 0215786/0148

COMMENTS: Re: Serial No. 09/762,555 - Applicant Initiated Interview Request Form attached.

When you are sending to us, please be sure to include a cover sheet with your transmittal and a telephone number where you can be contacted in case of equipment malfunction. Transmitted by:

Time:

Fa 1/26/87 12. 27m

IMPORTANT: The materials transmitted by this facsimile are sent by a lawyer or his/her agent, and are considered confidential and are intended only for the use of the individual or entity named. If the addressee is a client, these materials may also be subject to applicable privileges. If the recipient of these materials is not the addressee, or the employee or agent responsible for the delivery of these materials to the addressee, please be aware that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us at 412.355.6500 (collect) and return the transmitted materials to us at the above address via the U.S. Postal Service. We will relimburse you any costs incurred in connection with this erroneous transmission and your return of these materials. Thank you. Please report problems with reception by calling 412.355.6500.

PTOL-413A (09-08)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Petont and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form								
Application No.: 09/762,555 Examiner: Christian La Forgia	62,555 First Named Applicant: Mittenthal Art Unit: 2131 Status of Application: Non-Final Rejection							
Tentative Participants: (1) Examiner LaForgia	(2) Christopher G.	Wolfe (56,26	<u>4)</u> . :					
(3)	(4)	<u>.</u>	_					
Proposed Date of Interview: Week	of 1/22/07	Proposed Tix	ne:	_(AM/PM)				
Type of Interview Requested: (1) K Telephonic (2) Persona	al (3) [] Video C	onference						
Exhibit To Be Shown or Demonstrate If yes, provide brief description:	ed: []YES	KINO						
Issues To Be Discussed								
Issues Claims/		Discussed	Agreed	Not Agreed				
(Rej., Obj., etc) Fig. #s (1) Rejection All Pending Claims	Prior Art s <u>35 U.S.C. § 10</u> 1		[]	[]				
(2) Rejection All Pending Claims	35 U.S.C. § 112, ¶2	[]	[]	[]				
(3)	<u></u>	[]	[]	[]				
(4)	· · · · · · · · · · · · · · · · · · ·	[]	[]	[]				
Brief Description of Arguments to be Applicant would like to discuss an	e Presented: nendment forms for ov	ercoming the	section 101	_				
1110								
	<u> </u>	<u> </u>						
An interview was conducted on the a NOTE: This form should be completed (see MPEP § 713.01). This application will not be delayed from interview. Therefore, applicant is advisuas soon as possible.	by applicant and submitt by issue because of applican	ed to the exami: it's failure to su	ner in advance Ibmit a writter	record of this				
Applicant/Applicant's Representation Christopher G. Wolfe, Esq Typed/Printed Name of Applicant or	ļ . ,	Exan	niner/SPE Sig	nature				
56,264 Registration Number, if appl								

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) as application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any commission on the amount of time you require to complete this form and/or suggestions for reducing this borden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.